

Financial & Payroll Services for the Nonprofit Sector

Enrollment Forms for:

Illinois VDC Program Employers

This packet contains the necessary forms and instructions that will authorize ARIS Solutions to act in your behalf as your Financial Management Service provider. **ALL FORMS MUST BE SIGNED/DATED AND RETURNED TO ARIS SOLUTIONS**

- Employer Confirmation of Receipt
- Fraud & Abuse Statement
- HIPAA Notice of Privacy Practices & Agreement
- Employer / Veteran Information Form
- Workers Compensation Application
- Form SS-4 Application for Employer Identification Number
 - Form SS-4 allows ARIS to request a Federal Employer Identification Number from the IRS for vou.
- Form 2678 Employer/Payer Appointment of Agent
 - Allows ARIS to file your employment tax forms.
- Form 8821- Tax Information Authorization
 - Allows ARIS to receive & review copies of tax filings from the IRS.
- State Tax Forms
 - Department of Revenue:
 - IL Business Registration Application- Reg-1- Allows ARIS Solutions to apply for a Withholding Tax Account on behalf of the Veteran. ARIS Solutions will remit all applicable tax and filings.
 - IL-2848 Power of Attorney- Allows ARIS Solutions to correspond with IL Dept of Revenue on all tax related matters pertaining to this program ONLY.
 - Department of Labor:
 - Report to Determine Liability Under the UI Act Form REG-UI-1- Allows ARIS Solutions to apply for an Unemployment Tax Account on behalf of the Veteran to file and remit UI tax liability.

If you have questions contact, the state of illinois regarding Department at 866,970,330 for the state of illinois regarding Department of Labor accounts.

Return Packet to: ARIS Solutions-Veteran Program

PO Box 4409 White River Jct., VT 05001 Phone: 866.970.3301 (toll free) Fax: 802.295.9812 Email: veteranpayroll@arissolutions.org



Financial & Payroll Services for the Nonprofit Sector

New Employer/Veteran Information

You are now an Employer!

Welcome to the Veteran Directed Care Program employment model. You will now manage and direct the services you receive or the services the Veteran you represent receives. In this employer model you, or a representative who you appoint, are the employer and you direct the work of your employee.

The Role of ARIS Solutions as Your Financial Management Services "FMS" Provider

ARIS Solutions will serve as your FMS Provider to support you and complete many of the administrative employer obligations. This means that ARIS will process your timesheets, conduct criminal background checks on potential employees manage your employer tax responsibilities on the federal and state level, apply for workers compensation insurance, and pay your employees.

Your Role (as Employer)	Employee's Role (as Employee)	ARIS Solutions' Role (as FMS Provider)
Select and hire an employee	Meet your requirements for hiring	Assist with paperwork, as needed
Schedule employees (staying within your authorized budget)	Complete required employment paperwork	Establish you as an employer
Train employees	Submit a background check	Establish your worker as your employee
Sign timesheets Review employees job	Submit signed timesheets to ARIS	Conduct criminal background checks
performance		
Dismiss employees	Respect employer's boundaries, rules and responsibilities	Provide payroll services Prepare and disburse payroll checks
Establish clear boundaries		
Let your employee know what	Provide home care services to your employer as directed by	Pay employer taxes
the rules are and what their responsibilities are	your employer	Prepare year-end tax reports
Prevent fraud	Prevent fraud	Apply for and secure Workers Compensation insurance on behalf of the employer

Roles and Responsibilities Chart

The hiring process

ARIS Solutions will assist you, as needed, with all of the paperwork necessary to establish you as an employer and establish your worker as your employee.

Payroll services

ARIS Solutions will prepare and disburse payroll checks and year-end tax statements. In addition, ARIS will pay all employer taxes, withhold employee taxes, and submit tax withholding statements to the appropriate government agencies. If your employee ever needs employment verification ARIS will handle that as well, just forward the request via fax/email/ mail.

Contact Information

You can remove this page from the packet and post it somewhere prominent so you always have the information you need to contact the resources you need.

ARIS Solutions-Veteran Program staff is available for support Monday through Friday from 8:00 am to 4:00pm (EST) and can be reached at 866.970.3301 (toll free).

ARIS Solutions is not open on state or federal holidays.

Торіс	Resource	Contact Info
Veteran Program Director	Theresa Danforth	theresad@arissolutions.org
Veteran Program Specialist *Employer questions/concerns	Emilie Donka	emilied@arissolutions.org
Veteran Program Payroll	Megan Whiton	meganw@arissolutions.org
Specialist (s)	Janet Allen	janeta@arissolutions.org
	Nina Newcity	ninan@arissolutions.org

Veteran Program Team



ARIS Solutions

Financial & Payroll Services for the Nonprofit Sector

ARIS Solutions



PROGRAM INTEGRITY and FRAUD PREVENTION

Maintaining and improving program integrity is one of the most important aspects of the Veteran Directed Program. Program integrity including fraud prevention is critical to sustaining this program model. Participants, authorized representatives, and providers are vital to preventing fraud and maintaining program integrity.

Fraud and abuse with funds from the Veteran's Administration can cost billions of dollars each year, diverting funds that could otherwise be used for additional services or to assist more people that need care. As a participant, authorized representative, care provider or recipient of funds, you must comply with all State and Federal laws and prevent misuse or fraud of any funds within this programs. Honesty and integrity are expected of all who participate in the Veteran Directed Program.

Examples of Fraud and Abuse Include

- Submitting timesheets for services not actually provided
- Approving/authorizing hours that employees didn't actually work
- Recording more time or stating different times than you actually work
- Changing hours on a timesheet after it has been approved
- Not providing the services the veteran needs
- Falsifying a worker's compensation claim
- Falsifying or misrepresentation on applications or documentation
- Billing for services while in the hospital or other care facility
- Submitting twice for the same service
- Requiring an employee to "share" their paycheck with the employer

Results

Fraud is a felony conviction that can lead to substantial penalties, including imprisonment of up to ten years, or a fine of up to \$1,000 or an amount equal to twice the amount of assistance or benefits wrongfully obtained, or both. If convicted of fraud you may be excluded for a minimum of five years from any employment with a program or facility that receives Medicaid funding.

REPORTING

If you suspect or know of fraud or abuse occurring, it is your duty and responsibility to report this immediately to the Association of Area Agency and the Veteran's Administration. Or call ARIS Solutions at 802.280.1911 and the proper people will be contacted.



ARIS



Solutions Employer **Confirmation of Receipt**

I, _____, have read the "Program Integrity and Fraud Prevention" documents provided by ARIS Solutions.

I understand and accept my role or my designated representative's role as an employer in the Veteran Directed Program employment model.

I acknowledge that I am the employer of any employee I may choose to hire to provide home health care service in the Veteran Directed Program employment model.

I understand I am responsible for hiring, firing, training, and supervising my employees, as well as, maintaining program integrity by preventing and reporting fraud.

I understand and acknowledge that as a FMS Provider, ARIS Solutions, <u>will not</u> act as the employer of any employee I may choose to hire through this program.

Signed,

Signature of Employer

Date



FRAUD & ABUSE STATEMENT

Fraud is defined as **recklessly or purposefully** making false statements or representations to obtain some benefit or payment that you would not be entitled to without those statements or facts. These acts may be committed either for the person's own benefit or for the benefit of someone else. In other words, fraud includes the obtaining of something of value through misrepresentation or concealment of facts. Fraud is committed when a person or business deceives or distorts facts or information to get something they would not be otherwise entitled to. Fraud can range from a solo act to a broad-based operation by an institution or a group. Anyone can commit fraud.

Examples of Medicaid/Veteran Administration Fraud include, but are not limited to:

- Knowingly and/or purposefully filling out an employee timesheet incorrectly for hours or services that were not provided during the times listed or on the day listed;
- Knowingly and/or purposefully allowing the Vendor F/EA FMS-Support Broker entity to bill Medicaid/Veteran Administration for services that were not provided;
- Knowingly and/or purposefully using the Veteran's budget for any other purpose than what has been approved in the Veteran's service plan.
- Knowingly and/or purposefully allowing an employee to document services or hours that were not provided.
- Knowingly and/or purposefully submitting invoices to the Vendor F/EA FMS-Support Broker entity for goods and services that were not provided.
- Knowingly and/or purposefully having the Vendor F/EA FMS-Support Broker entity pay an employee or vendor for goods and/or services actually provided by someone else. (This is also tax fraud.)
- Knowingly and/or purposefully making a "side deal" with an employee to split their pay check with the Veteran or his/her representative. (This is also tax fraud).
- Knowingly or purposefully withholding information from authorities during an investigation
- Knowingly and/or purposely having the Vendor F/EA FMS-Support Broker entity pay for an approved good included in the Veteran's budget, and then return the approved good to get the cash or use it for something else that has not been approved.

Abuse is defined as practices that are inconsistent with sound fiscal, business, or medical practices, and result in an unnecessary cost to Medicaid/Veteran Administration and other programs, or in reimbursement for services that are not medically necessary or fail to meet professionally recognized standards for health care. It also includes recipient practices that result in unnecessary costs to the Medicaid/Veteran Administration program.

Examples of Medicaid/Veteran Administration Abuse include:

- Making errors when filling out the employee's timesheet and not immediately reporting the error to the Vendor F/EA FMS-Support Broker entity to remedy the situation.
- Being late in handing in Veteran/representative-employer related paperwork to the Vendor F/EA FMS-Support Broker entity.

The difference between Fraud and Abuse

Fraud is anything intentionally, purposefully or recklessly done to get something for your own benefit that you normally would not be entitled to. Abuse is anything that wasn't done intentionally or purposefully but was still completed incorrectly for your own benefit and not immediately reported.

Medicaid/Veteran Administration Fraud and Abuse is a crime against all taxpayers and is both a state and federal offense. All reports or allegations of fraud and abuse within the Veteran Directed Home and Community Based Services Program will be referred to the Veteran's Administration for possible criminal investigation. Veteran's suspected of Medicaid/Veteran Administration Fraud or Abuse also face termination from the Veteran Directed Home and Community Based Services Program.

Veteran's Signature	Date	1
Authorized Representative Signature	Date	ARIS Solutions
EMS Provider Signature	Date	

HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT

This notice describes how medical information about you may be used and disclosed and how we may obtain access to this information. <u>Please review it carefully & keep for your records</u>.

DEFINITION OF MEDICAL INFORMATION

When <u>ARIS Solutions/ VDC Program</u> refers to medical information, we mean protected health information (PHI). PHI is information that is individually identifiable health information including demographic information collected.

USES AND DISCLOSURES OF PHI

Health Care Operations- Your medical information may be used and disclosed in connection with our health care operational including:

- Case management and care coordination.
- Quality assessment and improvement activities and protocol assessment.
- Reviewing the competence or qualifications of health care professionals, evaluating provider performance, conducting training programs, accreditation, certification activities, and credentialing activities.
- Conducting legal services, compliance programs, fraud and abuse detection
- Business planning and development.

Additional disclosures-PHI may be disclosed;

- To another entity that has relationship with the organization for their health care operations relating to quality improvement and assessment activities, reviewing competence or qualifications of health care professionals.
- To other entities that assist us in conducting our health care operations.

We will not disclose your medical information to those persons or entities unless they agree to keep it protected.



ARIS Solutions

HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT continued...

For the Public Benefit- as authorized by law for the following purposes:

- As required by law
- For public health activities, including disease and vital statistic reporting, FDA oversight, and for work related illness or injury
- *To health oversight agencies*
- In response to court and administrative orders
- To avert a serious threat to health and human safety

Your written authorization is required for all other uses and disclosures of your PHI. You may revoke your authorization at any time. However, your revocation will not affect any use or disclosure you permitted to your revocation.

YOUR RIGHTS

Access to your information — You have the right to inspect or obtain a copy of the medical information about you that is contained in a "designated record set". The organization may ask you to submit your request in writing.

Accounting of disclosures – You have the right to receive a list of instances in which we or our associates disclosed your PHI for purposes other than health care operations or those authorized by you.

Confidential Communication – You have the right to request that we communicate with you about your PHI by a different means or at a different location. You make this request in writing.

Amending your PHI – You have the right to request that we amend your PHI contained in the "designated record set" if it is not correct or complete. We may require that this request be in writing.

Complaints – You have the right to file a complaint if you believe your privacy rights have been violated. You may file this complaint with A<u>RIS Solutions/ VDC Program and</u>/or the Secretary of the Department of Health and Human Services. All complaints to ARIS Solutions/ VDC Program must be made in writing. We support your right to protect your PHI.

PLEASE KEEP THIS FOR YOUR RECORDS



HIPAA NOTICE OF PRIVACY PRACTICES & AGREEMENT *PLEASE SIGN/DATE & RETURN TO ARIS SOLUTIONS*

At <u>ARIS Solutions/ VDC Program</u>, we respect the confidentiality of your medical information and will protect information in a responsible manner. We have a privacy program in place that meets the requirements of HIPAA, the government legislation that sets standards for the privacy of medical information.

This notice will be effective for all medical information that we maintain, including medical information we created or received before ______(date) _____(initials)

HIPAA PRIVACY NOTICE ACKNOWLEDGEMENT AND CONSENT

I acknowledge that I have been provided with a notice of privacy practices and have been advised of how health information about me may be used and disclosed by ARIS Solutions/ VDHCBS Program and how may I obtain access to and control of this information.

Signature of Employer

Date



ARIS Solutions



NAME OF EMPLOYER

lame				
(Last)		(First)	(M	iddle)
ddress(Street)	(Apt)	(City)	(State)	(Zip)
none <u>()</u>	Email			
OB <u>///</u>	Social Security Nu	mber	<u> </u>	
IN (If previously issued)		_		
elationship to Veteran				
eteran IS EMPLOYER If <u>yes</u> please skip next section	YES n.	NO		
NAMI	E OF VETERAN			
ame				
ldress				
(Street)	(APT)	(City)	(State)	(Zip)
none ()				
ate of Birth				
ocial Security Number				





VDC	Illinois	Workers'	Compensation	Form
	11111015	VV UI KUI S	Compensation	TUTII

Employer Legal Name:

Employer Date of Birth:

Veteran name (if different than Employer name):

Relationship to Veteran: \Box Spouse \Box Child \Box Sibling \Box Other (specify):

Employer FEIN # :

Employer Phone:

Street Address (where service is provided):

City, State, ZIP(where service is provided):

Estimated Number of Employees:

Full Time: _____

Part Time: _____

Estimated Annual Payroll:

Effective Date of Coverage (start date):

Employer Signature and Date:

INDIVIDUALS/INGL/UQED/EXCLUDED

P/	PARTNERS, OFFICERS, RELATIVES TO BE INCLUDED OR EXCLUDED. (Remuneration to be included must be part of rating information section.)									
#	NAME	DATE OF BIRTH	TITLE/ RELATIONSHIP	OWNER- SHIP %	DUTIES	INC/EXC	CLASS CODE	REMUNERATION		
<u> </u>						[

PRIOR CARRIER INFORMATION/LOSS HISTORY

	ATION FOR THE PAST 5 YEARS AND USE THE REMARKS SE	LOSS RUN ATTACH	LOSS RUN ATTACHED			
YEAR	CARRIER & POLICY NUMBER	ANNUAL PREMIUM	MOD	# CLAIMS	AMOUNT PAID	RESERVE
CO:						
POL #						
CO:	CO: POL #:					
POL #						
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NATURE OF BUSINESS/DESCRIPTION OF OPERATIONS GIVE COMMENTS AND DESCRIPTIONS OF BUSINESS, OPERATIONS AND PRODUCTS: MANUFACTURING-- RAW MATERIALS, PROCESSES, PRODUCT, EQUIPMENT, CONTRACTOR-- TYPE OF WORK, SUB-CONTRACTS. MERCANTILE--MERCHANDISE, CUSTOMERS, DELIVERIES. SERVICE-- TYPE, LOCATION. FARM--ACREAGE, ANIMALS, MACHINERY, SUB-CONTRACTS.

GENERAL INFORMATION					
EXPLAIN ALL "YES" RESPONSES	Y	ES N	D EXPLAIN ALL "YES" RESPONSES Y	/ES	NO
1. DOES APPLICANT OWN, OPERATE OR LEASE AIRCRAFT/WATERC	RAFT?		16. ARE PHYSICALS REQUIRED AFTER OFFERS OF EMPLOYMENT ARE MADE?		
2. DO/HAVE PAST, PRESENT OR DISCONTINUED OPERATIONS INVOI STORING, TREATING, DISCHARGING, APPLYING, DISPOSING, OR 1			17. ANY OTHER INSURANCE WITH THIS INSURER?		
OF HAZARDOUS MATERIAL? (e.g. landfills, wastes, fuel tanks, etc)			18. ANY PRIOR COVERAGE DECLINED/ CANCELLED/NON-RENEWED (Last 3 years)? NOT APPLICABLE IN MO		
3. ANY WORK PERFORMED UNDERGROUND OR ABOVE 15 FEET?			19. ARE EMPLOYEE HEALTH PLANS PROVIDED?		
4. ANY WORK PERFORMED ON BARGES, VESSELS, DOCKS, BRIDGE	OVER WATER?		20. IS THERE A LABOR INTERCHANGE WITH ANY OTHER BUSINESS/SUBSIDIARY?		
5. IS APPLICANT ENGAGED IN ANY OTHER TYPE OF BUSINESS?			21. DO YOU LEASE EMPLOYEES TO OR FROM OTHER EMPLOYERS?		
6. ARE SUB-CONTRACTORS USED? (IF YES, GIVE % OF WORK SUBC	ONTRACTED)		22. DO ANY EMPLOYEES PREDOMINANTLY WORK AT HOME?		
7. ANY WORK SUBLET WITHOUT CERTIFICATES OF INS.?			23. ANY TAX LIENS OR BANKRUPTCY WITHIN THE LAST 5 YEARS?		
8. IS A WRITTEN SAFETY PROGRAM IN OPERATION?			24. ANY UNDISPUTED AND UNPAID WORKERS COMPENSATION PREMIUM DUE FROM YOU OR ANY COMMONLY MANAGED OR OWNED ENTERPRISES? IF YES, EXPLAIN		
9. ANY GROUP TRANSPORTATION PROVIDED?			INCLUDING ENTITIY NAME(S) AND POLICY NUMBERS(S). CONTACT INFORMATION		
10. ANY EMPLOYEES UNDER 16 OR OVER 60 YEARS OF AGE?			IN- PHONE:		
11. ANY SEASONAL EMPLOYEES?			SPECTION NAME:		
12. IS THERE ANY VOLUNTEER OR DONATED LABOR?			ACCTNG PHONE:		
13. ANY EMPLOYEES WITH PHYSICAL HANDICAPS?			RECORD NAME:		
14. DO EMPLOYEES TRAVEL OUT OF STATE?			CLAIMS PHONE:		
15. ARE ATHLETIC TEAMS SPONSORED?			INFO NAME:		
			COMPLETE OR MISLEADING INFORMATION TO ANY PARTY TO A WORKERS LTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENI		
ANY PERSON WHO KNOWINGLY AND WITH INTENT TO I OR STATEMENT OF CLAIM CONTAINING ANY MATERIA CERNING ANY FACT MATERIAL THERETO, COMMITS A	DEFRAUD ANY IN LLY FALSE INFO FRAUDULENT IN	SURA RMA SURA	NCE COMPANY OR ANOTHER PERSON FILES AN APPLICATION FOR INSU TON, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION NCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINA N or VT; in DC, LA, ME and VA, insurance benefits may also be denied)	RAN N C	NCE ON-
APPLICANT'S SIGNATURE	DATE	PF	ODUCER'S SIGNATURE NATIONAL PRODUCER N	NUM	BER
ACOPD 130 (2002/00)	-		13 Midland AAA		

_	C	Midland AAA	Application for Em	ployer l	den	tifi	cat	ion Num	ber		OMB N	o. 1545-000	03
•	. Janua	ry 2010) of the Treasury	(For use by employers, corpor government agencies, Indian	rations, partr tribal entitie	s, cer	tain ir	ndivi	duals, and oth	ers.)	EIN			
	nal Reve	nue Service	See separate instructions for				a cop	by for your rec	ords.				
	1 (Legal name	of entity (or individual) for whom the	EIN is being	reque	sted							
						HHCS							
early	2	Trade name	of business (if different from name of	on line 1)	3	Exec	cutor	, administrator,	trustee,	"care of" r	name		
or print clearly.		-	ress (room, apt., suite no. and street ITIONS, PO BOX 4409	, or P.O. box)	<mark>5a</mark>	Stre	et ad	dress (if differe	nt) (Do n	ot enter a l	P.O. bo	x.)	
pri	4b	City, state, a	and ZIP code (if foreign, see instruct	ions)	<mark>5b</mark>	City,	, stat	e, and ZIP cod	e (if forei	gn, see ins	truction	s)	
P		WHITE RIVE	ER JUNCTION, VT 05001										
Type	6 (County and	state where principal business is loc	cated	1								
Ļ	7a (Name of res	ponsible party				7b	SSN, ITIN, or	EIN				
8a	ls th	is applicatio	n for a limited liability company (LL	C)			8b	If 8a is "Yes,"	' enter t	he number	of		
	(or a	ı foreign equ	ivalent)?	🗌 Yes	<u>М</u> м	NO I		LLC members					
8c	lf 8a	is "Yes," wa	as the LLC organized in the United S	states?		'					. [Yes	🗌 No
9a	Туре	e of entity (c	check only one box). Caution. If 8a is	s "Yes," see t	he ins	tructio	ons fo	or the correct b	ox to ch	eck.			
		Sole proprie		,			_	state (SSN of o					
		Partnership						lan administrat		-/			
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		-	fy) ► HHCSR			_ (D Exemption N	umbor (C		-		enterprises
9b		• •	name the state or foreign country (if	Stat	0		arou			country			
	appl	licable) wher	e incorporated							Country			
10	_		lying (check only one box)				-	(specify purpo	· ·				
	\square	Started new	business (specify type) ►		Chang	ed typ	be of	organization (s	pecify ne	ew type) 🕨			
		PERSONA	L CARE/HOME CARE	F	Purcha	ased g	joing	business					
		Hired emplo	yees (Check the box and see line 13	B.) 🗌 🖸	Create	d a tru	ust (s	pecify type) 🕨					
		Compliance	with IRS withholding regulations		Create	d a pe	ensio	n plan (specify	type) 🕨				
		Other (speci	ify) 🕨										
11	Date	e business st	arted or acquired (month, day, year)	. See instruct	ions.	-	12	Closing mor			0.		
							14	If you expection less in a full					
13	-		of employees expected in the next 12 n	nonths (enter -	0- if no	one).		annually inst		-			
	lf no	employees	expected, skip line 14.					(Your employ					
				0.1				or less if you	expect	to pay \$4,0	00 or le	ss in total v	wages.)
		Agricultura	al Household	Other				lf you do not	check t	his box, you	u must f	ile Form 94	41 for
								every quarte					
15		-	s or annuities were paid (month, da						g agent,	enter date	e incom	e will first	be paid to
			n (month, day, year)			_							
16	Cheo	ck one box th	nat best describes the principal activity					h care & social a		_	nolesale	-agent/bro	oker
		Construction	Rental & leasing I Transpor	tation & wareho	using			mmodation & fo			nolesale		🔄 Retail
		Real estate	Manufacturing Finance	& insurance		\square	Othe	r (specify) 🕨 🛛	Home &	Community	/ based	personal c	are
17		• •	Il line of merchandise sold, specific o					•		ces provide	ed.		
10			COMMUNITY BASED PERSO				_		_				
18			nt entity shown on line 1 ever appliec evious EIN here ►	and rece	iveu a		•		_ No				
			te this section only if you want to authorize	the named ind	ividual ¹	to rece	ive th	e entity's FIN and	answer	uestions abo	out the co	moletion of	this form
Thi	rd		ee's name										ude area code
Par			SOLUTIONS FISCAL AGENT							802-280-			
	signee	- I										her (includ	e area code)
		/	is and ZIP code OX 4409 WHITE RIVER JUNC	י דע אחודי	5001					802-29		•	
11- 1							.f 11 '		maleta				
			clare that I have examined this application, and to	The Dest of My Kno	wieage a	and Delle	ei, it is	une, correct, and co	mpiete.	Applicant's t	elephone	number (Inclu	ude area code)
Nam	e and t	itle (type or pr	Int clearly) ►							Applicant	for	bor /in-lu-l	o oros os 40
Sice	ature 🕨					"	Date 🕨			Applicant's	s iax nun	ider (Includ	e area code)

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Midland AAA 2678 Employer/Payer Appointment of Agent

(Rev. August 2014) Department of the Treasury - Internal Revenue Service

Use this form if you want to request approval to have an agent file returns and make deposits or payments of employment or other withholding taxes or if you want to revoke an existing appointment.

• If you are an employer or payer who wants to request approval, complete Parts 1 and 2 and sign Part 2. Then give it to the agent. Have the agent complete Part 3 and sign it.

Note. This appointment is not effective until we approve your request. See the instructions for filing Form 2678 on page 3.

• If you are an employer, payer, or agent who wants to revoke an existing appointment, complete all three parts. In this case, only one signature is required.

Part 1: Why you are filing this form...

(Check one)

Form

Vou want to **appoint** an agent for tax reporting, depositing, and paying.

You want to **revoke** an existing appointment.

Part 2: Employer or

1	Employer	identification	number (EIN)
---	----------	----------------	----------	------

- 2 Employer's or pay (not your trade nam
- 3 Trade name (if any
- Address

Pa	rt 2: Employer or Payer Information: Complet	e this part if you wa	nt to appoi	nt an agent or	revoke an	appointment.
1	Employer identification number (EIN)			-		
2	Employer's or payer's name (not your trade name)					
3	Trade name (if any)					
4	Address					
		Number Stree	et			Suite or room number
		City			State	ZIP code
		Foreign country name	Fc	preign province/count	у	Foreign postal code
5	Forms for which you want to appoint an agent appointment to file. (Check all that apply.)	or revoke the agent	's	For Al employe		For SOME employees/

appointment to file. (Check all that apply.)	employees/ payees/payments	employees/ payees/payments
Form 940, 940-PR (Employer's Annual Federal Unemployment (FUTA) Tax Return)*	\checkmark	
Form 941, 941-PR, 941-SS (Employer's QUARTERLY Federal Tax Return)	\checkmark	
Form 943, 943-PR (Employer's Annual Federal Tax Return for Agricultural Employees)		
Form 944, 944(SP) (Employer's ANNUAL Federal Tax Return)		
Form 945 (Annual Return of Withheld Federal Income Tax)		
Form CT-1 (Employer's Annual Railroad Retirement Tax Return)		
Form CT-2 (Employee Representative's Quarterly Railroad Tax Return)		

*Generally you cannot appoint an agent to report, deposit, and pay tax reported on Form 940, Employer's Annual Federal Unemployment (FUTA) Tax Return, unless you are a home care service recipient.

Check here if you are a home care service recipient, and you want to appoint the agent to report, deposit, and pay FUTA tax for you. See the instructions.

I am authorizing the IRS to disclose otherwise confidential tax information to the agent relating to the authority granted under this appointment, including disclosures required to process Form 2678. The agent may contract with a third party, such as a reporting agent or certified public accountant, to prepare or file the returns covered by this appointment, or to make any required deposits and payments. Such contract may authorize the IRS to disclose confidential tax information of the employer/payer and agent to such third party. If a third party fails to file the returns or make the deposits and payments, the agent and employer/ payer remain liable.

	Sign your				Print your name	here	
X	Sign your name here				Print your title he	ere HHCSR	
	Date	/	/		Best daytime ph	ione	
					Now g	ive this form to the	agent to complete.
For Priva	acv Act and Paperwor	k Reduction A	Act Notice, see	the instructions.	IRS.gov/form2678	Cat. No. 18770D	Form 2678 (Rev. 8-2014

OMB No. 1545-0748

For IRS use:

Midland AAA 88 Form (Rev. March 2015) Department of the Treasury

Tax Information Authorization

▶ Information about Form 8821 and its instructions is at www.irs.gov/form8821.

▶ Do not sign this form unless all applicable lines have been completed. ► Do not use Form 8821 to request copies of your tax returns

OMB No. 1545-1165 For IRS Use Only Received by: Name Telephone Function

1 Ta	xpayer information.	Taxpayer	must sign and	date this	form on line 7
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ernal Revenue Service or to authorize someone to represent you.				
1 Taxpayer information. Taxpaye	er must sign and date this form	on line 7.		
Taxpayer name and address		Taxpayer identification	n number(s)	
		Daytime telephone nu	mber Plan number (if applicable)	
2 Appointee. If you wish to name appointees is attached ► □	more than one appointee, attac	ch a list to this form. Check her	e if a list of additional	
Name and address		CAF No.		
ARIS SOLUTIONS FISCAL AGENT PO BOX 4409		Telephone No.	<u>866-970-3301</u>	
WHITE RIVER JUNCTION, VT 05001		Fax No.	802-295-9812	
		Check if new: Address	· · ·	
3 Tax Information. Appointee is a periods, and specific matters yo			ι for the type of tax, forms,	
(a)	(b)	(c)	(d)	
Type of Tax Information (Income, Employment, Payroll, Excise, Estate, Gift, Civil Penalty, Sec. 4980H Payments, etc.)	Tax Form Number (1040, 941, 720, etc.)	Year(s) or Period(s)	Specific Tax Matters	
EMPLOYMENT	941,940,941R, 941X, W2, W3	2018-2021	TAX LIABILITY	
	W2C			
4 Specific use not recorded on use not recorded on CAF, check				
5 Disclosure of tax information (you must check a box on line 5	5a or 5b unless the box on line 4	1 is checked):	
a If you want copies of tax inform basis, check this box	mation, notices, and other writ		he appointee on an ongoing	
Note. Appointees will no longer	receive forms, publications, and	d other related materials with th	e notices.	
b If you do not want any copies of	notices or communications ser	nt to your appointee, check this	box ► 🗌	
6 Retention/revocation of prior to is not checked, the IRS will auto box and attach a copy of the Ta	matically revoke all prior Tax In	formation Authorizations on file	unless you check the line 6	
To revoke a prior tax information	n authorization(s) without submi	tting a new authorization, see th	ne line 6 instructions.	
7 Signature of taxpayer. If signed party other than the taxpayer, I of periods shown on line 3 above.				
► IF NOT COMPLETE, SIGNED	, AND DATED, THIS TAX INF	ORMATION AUTHORIZATION	WILL BE RETURNED.	
► DO NOT SIGN THIS FORM I	F IT IS BLANK OR INCOMPLE	TE.		
		I		

Philit Name	Title (if app	licable)
Print Name	HHCSR	
Signature	Date	

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8821 (Rev. 3-2015)

REG-1 Illinois Business Registration Application

	gister faster using MyTax Illinois , our online account management p bsite at tax.illinois.gov or call us weekdays between 8:00 a.m. and 4		
St 1	ep 1: Identify your business or organization Federal employer identification number (FEIN) FEIN: Proprietorships must provide the Social Security number (SSN) under which taxes will be filed. SSN:	6	Check the organization type that applies to you: Proprietorship Check if owned by a married couple or civil union Partnership Corporation* S Corp (Subchapter S Corporation)* *Is your corporation publicly traded? Yes No
2 3	Legal business name: Doing-business-as (DBA), assumed, or trade name, if different from Line 2:		 If yes, provide the ticker symbol Governmental unit Not-for-profit organization LLC - Corporation LLC - Partnership LLC - Single member Check if disregarded
4	Primary or legal business address: Street address - No PO Box number Apartment or suite number	7	If you are applying to be a Scholarship Granting Organization under the Invest in Kids Act of 2017, you must apply online using MyTax Illinois, available at mytax.illinois.gov . Illinois Secretary of State identification number:
	Street address - No PO Box number Apartment or suite number City State ZIP If you have other locations in Illinois from where you do business, complete and attach Schedule REG-1-L. State ZIP	8	Is your business part of a unitary group?YesNo If "Yes", provide the FEIN of your designated agent (the entity responsible for filing your Illinois income tax return): FEIN:
5	Mailing address if different from the address above: C/O ARIS Solutions In-care-of name PO Box 4409 Street address or PO Box number White River Jct., VT 05001 City State	9	Identify a contact person regarding your business. Name:

Step 2: Identify your owners and officers - If you need to identify more, attach Schedule REG-1-0.

10 Identification depends on the organization type you selected in Step 1, Line 6 (proprietorship - owner(s); partnership - general partners; non-publicly traded corporation - president, secretary, and treasurer; publicly traded corporation - chief operating officer and chief financial officer; trust or estate - trustee(s) or executor(s); governmental unit - one contact person; not-for-profit organization - president, secretary, or treasurer; limited liability company - managers and members). For each individual or business required, complete the following information.

Individuals: (include Social Security number (SSN))

Name	Title		Name	Title
Home address - No PO Box number	City State ZIP		Home address - No PO Box number	City State ZIF
/ /	()		/ / Date of birth	()
Social Security number	Ownership percentage:		Social Security number	Ownership percentage:
	Title	Bu	,	oloyer identification number (FEIN))
Name	Title	а	Name	
Home address - No PO Box number	City State ZIP		Legal address	
/ / Date of birth	() Phone		City	State ZII
Social Security number	Ownership percentage:		()	Ownership percentage:
Name	Title	— b		
Home address - No PO Box number	City State ZIP		Legal address	
/ / Date of birth	()		City	State ZIF
Social Security number	Ownership percentage:		()	Ownership percentage: Midland AAA
-09/18)		17		

Step 3: Tell-us/about your business activities

11 Describe your business activities: _

12

13

	your service? Yes No
Provide your North American Industry Classification System	When will (did) this activity begin?//
(NAICS) number:	Use
Refer to the website www.naics.com	Does your supplier collect Illinois Sales Tax for merchandise your
Will you have Illinois employees? Yes No	business uses or consumes in Illinois?
If yes, complete and attach Schedule REG-UI-1.	Yes No
When was (is) the date of your first payroll in Illinois?	Does your supplier collect Illinois Sales Tax on sales of aviation
	fuel your business uses or consumes in Illinois?
Check all that apply to your type of business.	Yes No
Sales	When will (did) these activities begin?//
You must complete and attach Schedule REG-1-L to identify	Cigarettes and other tobacco products
all Illinois locations from which you make retail sales.	□ Cigarettes - See Schedule REG-1-C before you check here.
General merchandise: Retail Wholesale	 Organetics - See Schedule REG-1-C before you check Tobacco products - See Schedule REG-1-C before you check
Note: You must check "Retail" above if you make retail	here.
sales that are filled from inventory that is maintained in	Cigarette machine operator - See Schedule REG-1-C before
Illinois prior to its delivery to your Illinois purchaser.	you check here.
Sales to Illinois customers from out of state	When will (did) these activities begin?//
Check here if you have an Illinois presence,	
including, but not limited to having an office or	Renting or leasing
other facility in Illinois or having employees or other	Hotel rooms for less than 30 days - Attach Schedule REG-1-L.
representatives operating in Illinois.	Do you charge for telecommunication services?
Check here if you make \$100,000 or more in annual	
sales to Illinois customers.	Vehicles for one year or less - Attach Schedule REG-1-L.
Check here if you make 200 or more separate	Vehicles for more than one year
transactions annually to Illinois customers.	When will (did) these activities begin?//
Do you estimate your monthly sales and use tax liability	Utility providers
will be over \$200? Yes No	Electricity: Retail Wholesale
Soft drinks (other than fountain soft drinks) in Chicago	U Natural gas: Retail Wholesale
 Vehicle, watercraft, aircraft, or trailers 	Telecommunications - See Schedule REG-1-T.
Sales or delivery of tires. Do you always pay the	Retail Wholesale
Tire User Fee to your supplier? Yes No	Water or sewer services
Sales from vending machines. How many vending	Are you a utility cooperative? Yes No
machines?	Are you a municipality? Yes No
Liquor at retail (bar, tavern, liquor store, <i>etc.</i>)	When will (did) these activities begin?//
Motor fuel/fuel: Retail Wholesale	All other tax types
Check here if you are required to collect prepaid	Liquor warehousing - Attach Schedule REG-1-A.
sales tax.	Dry cleaning: Facility Solvent supplier
Medical cannabis - Attach Schedule REG-1-MC.	Own/operate coin-operated amusement devices
Cultivation Center Dispensing Organization	• You wish to purchase electricity for non-residential use and pay
Aviation fuel: Retail Wholesale	the tax to IDOR - Attach Schedule REG-1-D.
(if wholesale, attach Schedule REG-8-A)	You wish to purchase natural gas from outside of
When will (did) these activities begin?//	Illinois for your own use and pay the tax to IDOR - Attach
	Schedule REG-1-G.
	Not listed. Identify:
	When will (did) these activities begin?//

Services

Do you transfer items, on which tax must be collected, as part of

Step 4: Sign below

Under penalties of perjury, I state that I have examined this information and, to the best of my knowledge, it is true, correct, and complete. I further attest that I will be responsible for filing returns and paying all taxes due unless Schedule REG-1-R, Responsible Party Information, is attached to this application or forwarded to the department. Check here if you are attaching or forwarding Schedule REG-1-R:

Signature:		Title:		Date://
Printed name:		SSN:		
Address:		Phone: ()		
Mail your completed attachments and pa	I form, with any required yment to:	CENTRAL REGIST ILLINOIS DEPARTM PO BOX 19030 SPRINGFIELD IL 62	IENT OF REVENUE	E
REG-1 (R-09/18)	G-1 (R-09/18) G-1 (R-09/18) G-1 (R-09/18) G-1 (R-09/18) G-1 (R-09/18) G-1 (R-09/18) G-1 (R-09/18) G-1 (R-09/18) Finited by the authority of the State of Illinois - Web only - One copy			Midlanc

$\overline{\mathcal{X}}$	Illinois Departn	nent of Rever	nue
	Illinois Departm	Power of	f Attorney



Read this information first

Submit your completed form to REV.POA@illinois.gov. Do not attach to your tax return. You also may be required to provide a copy of this form to a representative of the Illinois Department of Revenue. This power of attorney automatically expires 10 years from the date it is signed. If you do not properly complete this form, you will be required to submit a new Form IL-2848. See the instructions for additional information. Note: A separate form may need to be completed for each taxpayer. An asterisk (*) below indicates a required field.

Step 1: Complete the following taxpayer information

Name of individual or business*		Identification number (i.e., FEIN or SSN)* - All nine digits required.
Street address*		Illinois Account ID (if known)
City*	State* ZIP*	() Daytime phone number*

Step 2: Identify the authorized agent or fiduciary executing this form - Signature required in Step 6

Complete the following if the taxpayer is a corporation, partnership, trust, or estate (*i.e.*, not an individual taxpayer) or if someone other than the taxpayer is authorizing the power of attorney and the taxpayer is an individual. If you are not the taxpayer and you already have been designated by the courts as power of attorney, do not complete this form. Instead complete Form IL-56, Notice of Fiduciary Relationship. See instructions for who can execute this form.

Name*		Title*
Street address*		() Daytime phone number*
City*	State* ZIP*	Email address

Step 3: Identify the representative(s) - If more than two representatives, list the total number here:

Attach a copy of page one for every two additional representatives. (See instructions.) Note: If any representative listed is a person who is not an attorney, a certified public accountant, or an enrolled agent, you must complete the notary section of Step 6.

The taxpayer named above appoints the following representative as attorney-in-fact:

Name of individual* Check one: Attorney Cl (if applicable) Attorney	PA Enrolled agent	Name of individual* Check one: Attorney CPA Enrolled agent (if applicable) Name of firm, if applicable Identification number (Attorney License No., PTIN, FEIN, or SSN)* - See instr.	
Name of firm, if applicable			
Identification number (Attorney Licens	e No., PTIN, FEIN, or SSN)* - See instr		
Street address*		Street address*	
City*	State* ZIP*	City*	State* ZIP*
() Daytime phone number*	() Fax number	() Daytime phone number*	() Fax number
Email address		Email address	
•	authorize the Department to send the representative listed above.	•	Int to authorize the Department to send es to the representative listed above.
 I declare that I am not curren a member in good standi duly qualified to practice a 	a above is checked to indicate th htly under suspension or disbarmen ng of the bar of the highest court o as a certified public accountant in suant to the requirements of United	nt and that I am f the jurisdiction indicated belov the jurisdiction indicated below;	v; or or
Signature of representative	Date	Signature of representative	Date
Print name	Jurisdiction (state(s), etc.)	Print name	Jurisdiction (state(s), etc.)

Step 4: Revocation of power of attorney appointments

This power of attorney revokes all powers of attorney on file with the Illinois Department of Revenue with respect to the same matters and years or periods covered. If you do *not* want to revoke prior powers of attorney, check this box:

Step 5: Identify the tax matters and the ty Tax Matters			e of appointment –	 Designate the Tax Matters to attorney applies and the Typ 	
Тах Ту	pe/Tax	Form(s) or Notices*		Tax Year(s) or Filing Period	l(s)*
Tax Ty	pe/Tax	Form(s) or Notices		Tax Year(s) or Filing Period	l(s)
Тах Ту	pe/Tax	Form(s) or Notices		Tax Year(s) or Filing Period	l(s)
Type of /	Appoi	ntment — Check either General or Specif	ic Appointment . Do <u>not</u> cl	heck both boxes. See instructio	ons.
The atternation of Reve	orneys erform, becific orneys enue co	Appointment -in-fact named above shall have, subject to r including the authority to receive and discus Appointment -in-fact named above shall have, subject to r onfidential information for the tax matters listed designated below. (Check the following, as a	s confidential information for revocation, power of attorn ed above and to perform o	or the tax matters listed above. ey to receive and discuss with	the Illinois Department
	Yes Yes	Endorse or collect checks in payment of ref Receive checks in payment of any refund of Execute waivers (including offers of waivers)	funds. of Illinois taxes, penalties, c s) of restrictions on assess		es in tax and waivers
	1	of notice of disallowance of a claim for cred		- U Maria of Anna -	
		Execute consents extending the statutory p		collection of taxes.	
		Delegate authority or substitute another rep			
		Execute offers in compromise or settlemen Represent the taxpayer before the Illinois D Tribunal (requiring representation by an att	Department of Revenue in a	administrative hearings or the I	llinois Independent Tax
	Yes	Represent the taxpayer before the Illinois E as proceedings before the Informal Conference		-	strative hearings, such
		Obtain a private letter ruling on behalf of the Other (Please describe.)	e taxpayer.		
lf signir	ng as a	ature (Required) - <i>This form <u>must</u> be</i> corporate officer, partner, fiduciary, or indivi ney on behalf of the taxpayer.		-	
Тахрауе	ər's Sigr	nature*	Print name*	Title, if applicable	Date*
Spouse'	's signa	ture (required if spouse is listed in Step 1)	Print name		Date
Comple an enre		e following if any representative listed in S gent.	Step 3 is a person other t	han an attorney, a certified p	oublic accountant, or
If the po	ower o	f attorney is granted to a person other than a ssed or notarized below. Please check and o			gent, this document
Any pe	rson si	gning as or for the taxpayer			
🗌 is	s knowr	to and this document is signed in the present	ce of the		

two disinterested witnesses whose signatures appear here, <u>OR</u>

Signature of witness

. . . . -

Signature of witness

appeared this day before a notary public and acknowledged this power of attorney as his or her voluntary act and deed.

Notary seal

20

Date

Date

Date



\sum	
No.	

REG-UI-1 Report to Determine Liability Under the Unemployment Insurance Act



Read this information first

Register faster using **MyTax Illinois**, our online account management program, at **mytax.illinois.gov**. If you have questions contact us weekdays between 8:30 a.m. and 5:00 p.m. at **(800) 247-4984.**

Important: Every newly created employing unit shall file this report within 30 days of the date upon which it commences business (820 ILCS 405/1800; 56 III. Adm. Code 2760.105). If you are registering a new business, complete and attach this form to your **REG-1**, Illinois Business **Registration Application**, available on the Illinois Department of Revenue website at **tax.illinois.gov**.

St	ep 1: Business Information				
1	Business Name:	2 Doing Business As:			
3	Primary Business Address:				
4	(If address is a non-Illinois headquarters you are required to also answer question 4)				
4		you conduct business in Illinois. If there is no additional address leave blank. If you want IDES correspondence JES Form UI-1M Special Mailing Form and LE-10, Power of Attorney, if applicable)			
5	Phone Number:				
7	FEIN: - 8 IDES previous	8 IDES previously assigned employer account no.:			
9 10	Type of organization (check one): Association Cooperative Political Subdivision Instrumentality LLC-Corporation LL S-Corporation Sole Proprietor Trustee in Bankruptcy Instrumentality Is this a qualified settlement fund? Yes No	(If applicable) e			
<u>_</u>	on 9. Entity Information	18 Did you acquire your Illinois business or any portion of it by purchase,			
	ep 2: Entity Information What is your primary business activity in Illinois?	reorganization or a change in entity; for example, a change from sole proprietor to corporation? If yes, you <u>must</u> complete and attach form UI-1 S&P, Report to Determine Succession . Also			
	What is your principal product or service?	complete the remainder of the questions on this form. Responses to the questions on this form should reflect information relative to the operation of your business after the date of acquisition.			
	If you have more than one product or service, list the top two and indicate the percentages that each contributes to your total revenue: % of Sales or receipts	 Step 3: Liability Information Have you incurred liability under the Federal Unemployment Tax Act (in any state) for any of the last 4 years? Yes No 			
	% of Sales or receipts	If yes, indicate the year(s) for which you incurred such liability:			
	Enter your NAICS Code here				
	(If you do not know your NAICS Code refer to the Bureau of Labor Statistics website for the proper code)	Step 4: Additional Liability Information			
12	If you are a Corporation : Date of Incorporation State in which incorporated	If you are not engaged in Domestic, Agricultural, Religious, Charitable,			
	Has any form of remuneration, including dividends, been paid to the officers of this corporation? Yes No	 Educational, Nonprofit or Governmental services, skip to question 24. 20 Domestic Service Entities In regard to domestic service workers, in a private home, local college 			
13	If you are a Limited Liability Company (LLC) : Are there any individuals performing services for the organization other than the member manager(s)? Yes No	 club, or local chapter of a college fraternity or sorority, if applicable check any of the following: a If during the current calendar year, the past four calendar years, or the future four calendar quarters, there have been or 			
	How is the member manager(s) treated for federal tax purposes?	there will be any quarter in which you paid wages of \$1,000 or more for domestic service.			
	□ Sole Proprietor □ Partner □ Other (Explain)	Check the first such quarter during that period and indicate the year in			
	If you are an LLC-Corporation indicate:	which it did or will occur:			
	Date of Organization State in which Organized	□ Jan-Mar (Q1) □ Apr-Jun (Q2)			
14	If you are a Partnership : Are there any individuals performing services other than the partners? Yes No	 Jul-Sept (Q3) Oct-Dec (Q4) If you solely employ household workers and are eligible to use Schedule H (IRS Form 1040) for filing federal unemployment taxes for the workers (whether or not you use it), then you may elect to 			
15	If you are a Sole Proprietor : Are there any individuals performing services, other than the sole proprietor, the sole proprietor's parent, spouse or child under the age of 18? Yes No	pay contributions for each quarter and submit wage reports for each month or quarter, as the case may be, on an annual basis. Check this box (20b) if you are eligible and would like to elect to file annually.			



Date you first began employing workers in Illinois: ____

Date of your first payroll in Illinois:

16

17

21 Agricultural Entities

- In regard to agricultural labor, if applicable check any of the following:
- a D You employ, have employed, or will employ one of more workers to perform agricultural labor.
- b During the current calendar year, the past four calendar years, or the future four calendar quarters, there has been or there will be any quarter in which you paid wages of \$20,000 or more for agricultural labor.
- If so, check the first such quarter during that period and indicate the year in which it did or will occur:
- □ Jan-Mar (Q1) _____ □ Apr-Jun (Q2) _____

□ Jul-Sept (Q3) _____ □ Oct-Dec (Q4) _____

c During the current calendar year, the past four calendar years, or the future four calendar quarters, there has been or there will be any calendar year during which you employed 10 or more individuals to perform agricultural labor for at least 20 weeks (whether consecutive or not).

If so, check the first such quarter during that period and indicate the year in which it did or will occur:

Jan-Mar (Q1)	Apr-Jun (Q2)
Jul-Sept (Q3)	Oct-Dec (Q4)

d If you checked 21a, 21b or 21c and your business includes any retail sales activity, check this box (21d).

22 Religious, Charitable, Educational or Other Nonprofit Entities

- a □ Check if your organization is a religious, charitable, educational or other nonprofit organization as defined in **Section 501(c)(3)** of the Internal Revenue Code. If so, attach your federal IRS 501(c)(3) exemption letter to this application.
- b During the current calendar year, the past four calendar years, or the future four calendar quarters, there have been or there will be any quarter in which you have had four or more workers to perform work for at least 20 weeks (whether or not consecutive).

If so, check the quarter that included the 20th week within which you have employed 4 or more individuals to perform religious, charitable education and/or nonprofit labor and indicate the year in which it did or will occur:

- Apr-Jun (Q2) _____ Jul-Sept (Q3) ____
- Oct-Dec (Q4) _____
- c Check if you wish to be a reimbursable employer. Complete and attach form UI-5NP, Reimburse Benefits in Lieu of Paying Contributions.

23 Governmental Entities or Indian Tribes

- a Check if you wish to be a reimbursable employer. Complete and attach form UI-5LG, Reimburse Benefits in Lieu of Paying Contributions.
- b Check if your organization is an Indian Tribe (including a subdivision, subsidiary or business enterprise wholly owned by an Indian Tribe).
- 24 If you did not answer 20, 21, 22, 23, check any of the following boxes that apply and provide the requested information.
 - a Have there or will there be, any calendar quarter in either the current calendar year, the past four calendar years, or the future four calendar quarters, in which you paid wages of at least \$1,500 for services in employment.

If so, check the first such quarter during that period and indicate the year in which it did or will occur:

Jan-Mar (Q1)	Apr-Jun (Q2)
--------------	--------------

- □ Jul-Sept (Q3) _____ □ Oct-Dec (Q4) _____

If so, check the first such quarter during that period and indicate the year in which it did or will occur:

Apr-Jun (Q2) Jul-Sept (Q3)

Oct-Dec (Q4)

Step 5: Additional Business Information

25 Voluntary Coverage

If you are determined to be not liable for the payment of unemployment insurance taxes based upon the provisions of the Illinois Unemployment Insurance Act you may voluntarily elect coverage under *820 ILCS 405/302*.

- Check if you want voluntary coverage, complete and attach Form UI-1B, Voluntary Election of Coverage.
- 26 If you have multiple worksites in Illinois complete and attach Form UI-ML, Multiple Worksites in Illinois, found online at ides.illinois.gov.

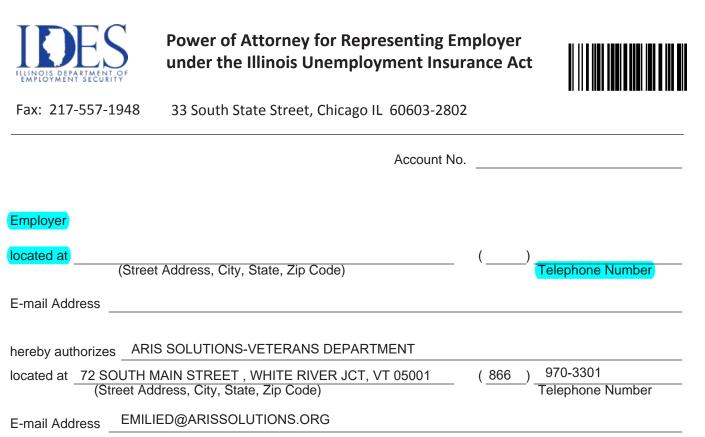
Step 6: Certification and Signature

I hereby certify that the information contained in this report, and any sheets or forms attached hereto, is true and correct. This report must be signed by the owner, a partner, or an authorized agent within the employing enterprise. If this document is signed by any other person, complete and attach the Illinois Department of Employment Security Form LE-10, Power of Attorney, available online at **ides.illinois.gov.**

Printed Name:	Signature:
Title:	Date:
Mail your completed form, with any required attachments to:	d CENTRAL REGISTRATION DIVISION ILLINOIS DEPARTMENT OF REVENUE PO BOX 19030 MAIL CODE 3-222

SPRINGFIELD IL 62794-9030

This state agency is requesting information that is necessary to accomplish the statutory purpose as outlined under 820 ILCS 405/100-3200. Disclosure of this information is required. Failure to disclose this information may result in statutorily prescribed liability and sanction, including penalties and interest.



to represent the Employer before the Director in any and all matters, to act in the Employer's stead with the same consequences as the Employer, and to receive any and all information requested by said Representative pertaining to the Employer's liability for the payment of contributions, interest and penalties under the Illinois Unemployment Insurance Act (except that I understand that notices pertaining to a Determination and Assessment or Refund/Adjustment shall be sent to the employing unit at its principal place of business or its last known place of business or residence), until such time as the appointment is terminated. I understand that my Representative shall be provided information only to the extent that it is requested for one of the purposes set forth in Section 1900 of the Illinois Unemployment Insurance Act [820 ILCS 405/1900].

Signature
Name of Employer
Ву
Title
Date

VDC- Illinois Time Sheet and Reimbursement Schedule 2019

Pay Period	Pay Period Start Date	Pay Period End Date	Timesheet Submission Due Date	Payment Date
1	12/16/2018	12/22/2018	12/24/2018	1/2/2019
2	12/23/2018	1/5/2019	1/7/2019	1/11/2019
3	1/6/2019	1/19/2019	1/21/2019	1/25/2019
4	1/20/2019	2/2/2019	2/4/2019	2/8/2019
5	2/3/2019	2/16/2019	2/18/2019	2/22/2019
6	2/17/2019	3/2/2019	3/4/2019	3/8/2019
7	3/3/2019	3/16/2019	3/18/2019	3/22/2019
8	3/17/2019	3/30/2019	4/1/2019	4/5/2019
9	3/31/2019	4/13/2019	4/15/2019	4/19/2019
10	4/14/2019	4/27/2019	4/29/2019	5/3/2019
11	4/28/2019	5/11/2019	5/13/2019	5/17/2019
12	5/12/2019	5/25/2019	5/27/2019	5/31/2019
13	5/26/2019	6/8/2019	6/10/2019	6/14/2019
14	6/9/2019	6/22/2019	6/24/2019	6/28/2019
15	6/23/2019	7/6/2019	7/8/2019	7/12/2019
16	7/7/2019	7/20/2019	7/22/2019	7/26/2019
17	7/21/2019	8/3/2019	8/5/2019	8/9/2019
18	8/4/2019	8/17/2019	8/19/2019	8/23/2019
19	8/18/2019	8/31/2019	9/2/2019	9/6/2019
20	9/1/2019	9/14/2019	9/16/2019	9/20/2019
21	9/15/2019	9/28/2019	9/30/2019	10/4/2019
22	9/29/2019	10/12/2019	10/14/2019	10/18/2019
23	10/13/2019	10/26/2019	10/28/2019	11/1/2019
24	10/27/2019	11/9/2019	11/11/2019	11/15/2019
25	11/10/2019	11/23/2019	11/25/2019	11/29/2019
26	11/24/2019	12/7/2019	12/9/2019	12/13/2019
27	12/8/2019	12/21/2019	12/23/2019	12/27/2019
28	12/22/2019	1/4/2020	1/6/2020	1/10/2020

Time sheets, reimbursements, employee paperwork and check requests received by the ARIS Solutions office after the due dates posted above will be processed with the next pay period.

Send to:	Questions?
ARIS Solutions	Veterans Department
PO Box 4409	1.866.970.3301
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WHAT EMPLOYERS NEED TO KNOW

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How to Protect Yourself and Your Worker: A Guide for Employers

Being an employer brings not only rights but also responsibilities. This guide describes a few important issues that every employer should know about.

Maintaining a Safe Workplace

It is important to keep your home safe for your employee. Slips and falls are a common cause of injuries, so you should clean up or warn your employee of spills and wet surfaces, and keep stairs and flooring in good repair. If you have pets in your home, make sure they cannot bite or scratch your employee.

Making Hiring and Firing Decisions

Terminating Employees

Do not hesitate to terminate an employee who does not meet your needs. Most employment relationships are considered employment "at will," which means you can terminate an employee for any reason or no reason at all, so long as your reason is not discriminatory, retaliatory (see discussion below) or otherwise unlawful.

Avoiding Promises about the Length of Employment

To avoid a claim for breach of contract, do not make any promises to your employee that you will keep him employed for a certain period of time or that you would only fire him for a specific reason. Remember that a contract does not always have to be in writing to be legally binding. Spoken statements and promises can sometimes create legal obligations.

Avoiding Illegal Discrimination and Retaliation

In many states it is illegal to discriminate against employees based on certain factors, which can include race, color, religion, sex, national origin, marital status, sexual orientation. This means that you must not hire, fire, or harass employees based on such factors. While your employee is with you, be careful not to express any personal opinions that could be interpreted as discriminatory. Even if you are in your own home, the home is considered a workplace while your employee is there, and workplace discrimination and harassment are prohibited by law.

Do not allow friends or family to behave in ways that could be considered discriminatory or harassing towards your employee. As the employer, you could be held responsible for their behavior if you allow it to continue.

Sexual harassment is also illegal. It includes unwelcome sexual advances that can be physical or verbal, such as offensive comments or gestures that create a hostile environment. Remember that the harasser can be someone other than the employer, such as a guest visiting your home or someone who lives with you.

What Employers Need to Know

It is also illegal to fire employees in retaliation for reporting a crime or irregularity. For example, if an employee believes that an employer is misusing Medicaid funds and reports it to the authorities, it would be illegal to fire the employee in retaliation.

Providing References for Former Employees

Be careful when talking about your reasons for terminating employees, because you could risk a claim of discrimination or defamation (saying things about the employee who harms them). If you are asked for a reference about a former employee and cannot provide a positive one, it is safest not to provide a reference at all.

What Family Members and Authorized Representatives Need to Know

Your Duty as Representative

In participant-directed programs, usually the participant (the person receiving services) is the employer. It is not unusual, however, for the participant to be unable or unwilling to serve as the employer. In those cases, the participant will designate a "representative" to serve as the employer. If you are designated as an authorized representative, you have a *fiduciary* duty to the participant. "Fiduciary" means you must always act in the best interest of the participant and not in your own interest. Program funds must always be spent for the participant's benefit, not your own benefit.

Hiring and Training Employees

If the participant is likely to injure himself or others, you have a duty to warn employees of the risk and instruct them how to best handle it. Make sure to hire only employees who can deal with situations that arise. Ask them to confirm that they understand the risks and are willing and able to handle them.

If you are a parent, you must exercise reasonable care to control your minor child as best as you can, even if you are not listed as an authorized representative for the child. It is important to hire employees who are able to deal with any risks they may encounter when caring for your child. You should warn employees ahead of time of risks, and explain how to best handle situations that may to come up.

Mandatory Reporter Duty

As an authorized representative, you may have a legal duty to report to the authorities if you suspect or notice that the participant is being abused by a family member, an employee, or some other person. Many states have "mandatory reporter" laws that could require you to report abuse of a child, an elderly adult or a person with a disability. You may have a duty to report the abuse even if the abuser is a member of your own family or the participant's family.

Worker's Compensation Insurance

It is important to maintain a worker's compensation insurance policy, because such insurance will pay for claims if an employee is injured on the job.

If an employee is injured while at work, the employer is liable even if the injury is not the employer's fault. For example, if your employee drives to the grocery store on your behalf and is injured when a careless driver hits her car, the employee could ask you for compensation even though you could not have prevented the accident. This is because employers have to compensate employees for injuries sustained on the job. A worker's compensation insurance policy will pay for such claims.

Liability Insurance

Worker's compensation will pay when your employee is injured, but what happens when someone else is injured? As an employer you may be liable when your employee injures someone else, even if the injury is not your fault. For example, if your employee causes a car accident while driving you to an appointment and injures a third party, the third party could sue you because your employee caused the accident while on the job.

Employment-related claims like wrongful termination, discrimination, or defamation are another source of liability that is not covered by worker's compensation insurance.

Some homeowner's, renter's, or liability insurance policies will cover such claims. However the terms of insurance policies vary, so you should read the terms and consult with an insurance agent before you start your participant direction program. You may consider an addition to your homeowner's or renter's policy, or a separate liability insurance policy, to be covered for liability risks related to domestic employees.